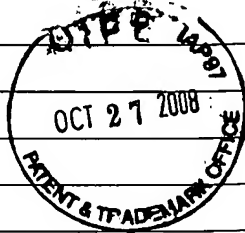


<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10627626
	Filing Date		2003-07-28
	First Named Inventor	Steven M.H. Wallman	
	Art Unit	3694	
	Examiner Name	Greene, Daniel Lawson	
	Attorney Docket Number	10392/460043	



### U.S.PATENTS

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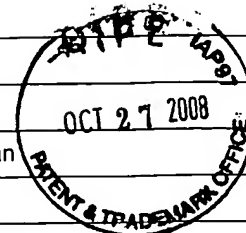
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**INFORMATION DISCLOSURE  
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1	"Self-Regulatory Organizations; Filing of Proposed Rule Change by New York Stock Exchange, Inc. Relating to Amendment to Rule 411(b) Regarding the Entry of Odd-Lot Orders", Federal Register, Mar. 17th, 1992, pg. 9299, Vol. 57, Issue 52, Washington, DC, US	<input type="checkbox"/>
2	JON NEWBERRY, "Bye bye broker", ABA Journal, Mar. 1998, pg. 90, Vol. 84, Chicago, US	<input type="checkbox"/>
3	"DIRECT-BUY STOCKS CUT OUT MIDDLE MAN", Palm Beach Post, Mar. 9, 1998, pg. 17, Palm Beach, US	<input type="checkbox"/>
4	"Frankfurt to Launch Trading System", Wall Street Journal (Europe), Oct. 7th, 1997, pg. 14, Brussels, BE	<input type="checkbox"/>

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